

1 **A simple strategy for managing many recessive**  
2 **disorders in a dairy cattle breeding program**

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## 11 **Abstract**

### 12 **Background**

13 High-density single nucleotide polymorphism genotypes have recently been used to  
14 identify a number of novel recessives that adversely affect fertility in dairy cattle, as  
15 well as to track other conditions such as red coat color and polled. Many current  
16 methods for mate allocation fail to consider that information, and it will be  
17 increasingly difficult to manage matings when a large number of recessives must be  
18 accounted for.

### 19 **Methods**

20 A modified version of Pryce's scheme that constrains inbreeding and accounts for the  
21 economic effects of Mendelian disorders on overall economic merit was developed  
22 and compared with random mating, truncation selection, and Pryce's method of  
23 constraining genomic inbreeding for several different scenarios, including one group  
24 of 6 hypothetical alleles and a second group of 12 recessives currently segregating in  
25 the US Holstein population.

### 26 **Results**

27 Pryce's method and the modified Pryce's method showed similar ability to reduce  
28 allele frequency, particularly for loci with frequencies greater than 0.30. The modified  
29 Pryce's method may outperform Pryce's method for low-frequency alleles with small  
30 economic values. Cumulative genetic gain for the selection objective was slightly  
31 higher using Pryce's method, but rates of inbreeding were similar across methods.

### 32 **Conclusions**

33 The proposed method appears to reduce minor allele frequencies for recessives with  
34 low frequencies faster than other methods, and can be used to maintain or increase the  
35 frequency of desirable recessives. It can easily be implemented in software for mate

36 allocation, and the code used in this study is freely available as a reference  
37 implementation.

38 Keywords: dairy cattle, genetic selection, mating programs, recessive disorders

## 39 **Background**

40 Recessive disorders have been present in livestock populations since modern animal  
41 breeding programs began, and hundreds are known to exist [1]. In the past, test  
42 matings were used to identify recessive disorders [2], but most recessives were  
43 identified after the carrier bull sired many daughters and had sons in AI (e.g., bovine  
44 leukocyte adhesion deficiency [3], complex vertebral malformation [4], and  
45 deficiency of uridine monophosphate synthase [5]). It also is possible for novel  
46 recessives to be spread through a population by popular bulls before routine screening  
47 is possible because such defects were not directly observable, such as occurred with  
48 Jersey haplotype 1 [6].

49

50 Several authors have proposed methods for including QTL information in breeding  
51 programs. Many approaches focus on the calculation of the additive genetic value of a  
52 QTL which is combined with other information using a selection index approach [7-  
53 10]. Shepherd and Kinghorn [11] have described how QTL information could be  
54 included in a look-ahead mate selection scheme, and they have suggested that it could  
55 be incorporated into a comprehensive mating service, such as Total Genetic Resource  
56 Management™ ([12]; <http://www.xprime.com.au/products/tgrm/index.html>) once  
57 efficient algorithms have been developed. Li et al. [13,14] reported that the use of  
58 QTL genotypes provides more benefit when utilized in mate selection rather than  
59 index selection for a variety of modes of inheritance under several breeding  
60 structures. Recently, Van Eenennaam and Kinghorn [15] extended the **MateSel**

61 program [16] to permit selection against the total number of lethal alleles and  
62 recessive lethal genotypes.  
63  
64 Genomic tools have enabled the detection of many new recessives which have  
65 deleterious effects on fertility [17], many of which have effects early in gestation and  
66 could not previously be distinguished from failed breedings. As the number of  
67 recessives continues to grow, new tools are needed to consider that information when  
68 making mating decisions. However, many mate allocation tools do not consider  
69 carrier status when bulls and cows are paired, and few make use of DNA marker or  
70 haplotype information that is increasingly available for bulls and cows. When there  
71 are only a few recessives in a population it is easy to monitor individuals to avoid  
72 carrier-to-carrier matings, but that is considerably more difficult, or even impossible,  
73 when there are many harmful defects segregating in a population.  
74  
75 Pryce et al. [18] recently proposed a simple method for controlling the rate of increase  
76 in genomic inbreeding by penalizing parent averages (PA) for matings that produce  
77 inbred offspring. After PA are adjusted, the bull that will produce the highest PA  
78 when mated to a cow is selected in a sequential manner, and the number of matings  
79 permitted for each bull is constrained to prevent one bull from being mated to all  
80 cows. This method is straightforward to program, and effectively constrains genomic  
81 inbreeding at reasonable levels. The objectives of this research were to extend Pryce's  
82 method to include information about recessives, and to examine its use in  
83 simultaneously accounting for a large number of Mendelian disorders when allocating  
84 mates in dairy cattle breeding schemes by means of computer simulation. Managing  
85 genetic defects is a tradeoff between avoiding carrier matings in the short term and

86 eliminating defects in the long run, so the simulation model will examine long-term  
87 changes in the population.

## 88 **Methods**

### 89 **Base population**

90 Base population cows had true breeding values (**TBV**) for lifetime net merit (**NM\$**)  
91 that were randomly sampled from a normal distribution with a mean of \$0 and a  
92 standard deviation of \$200, which is similar to the genetic SD of lifetime net merit  
93 [19]. Bull TBV were sampled from a normal distribution with a mean of \$300 (+1.5  
94 genetic SD of NM\$) and a standard deviation of \$200. An animal's carrier status for  
95 each recessive was **determined** by randomly sampling sire and dam alleles using the  
96 minor allele frequencies (**MAF**) shown in Table 1. Recessives were assumed to be  
97 independent of one another, as though each locus was located on a different  
98 chromosome. A sex ratio of 0.5 was used, and base population animals were assigned  
99 a birth year from -9 to 0 (bulls) or -4 to 0 (cows) by sampling from a uniform  
100 distribution.

101

102 The base population in each scenario included 350 bulls and 35,000 cows distributed  
103 over 200 herds, and the population was permitted to grow to a maximum of 500 bulls  
104 and 100,000 cows over the 20 generations simulated. Bulls were permitted a  
105 maximum of 5,000 matings per year, and in the truncation selection scheme described  
106 later in this section only the top 10% of bulls based on TBV were retained for use as  
107 mates.

### 108 **Descendants**

109 The TBV for new calves were created by taking the parent average (**PA**) and adding a  
110 Mendelian sampling term:

111 
$$TBV_{\text{calf}} = 0.5(TBV_{\text{sire}} + TBV_{\text{dam}}) + MS$$

112 where  $TBV_{\text{calf}}$ ,  $TBV_{\text{sire}}$ , and  $TBV_{\text{dam}}$  are the TBV of the calf, its sire, and its dam,  
113 respectively. The Mendelian sampling term, MS, was drawn from a normal  
114 distribution with a mean of 0 and a variance of  $\frac{1}{2}[1 - \frac{1}{2}(f_S + f_D)]\sigma_a^2$ , where  $f_S$  and  $f_D$   
115 are coefficients of sire and dam inbreeding, respectively, and  $\sigma_a^2$  is the additive  
116 genetic variance of NM\$ (\$40,000). Sex was assigned randomly with a 50:50 sex  
117 ratio. For each recessive in the scenario, an allele was sampled at random from each  
118 parent and used to construct the progeny genotype. If the recessive was lethal, an  
119 affected (aa) calf was created and marked as dead. Calves were born in the same herd  
120 as their dams, and cows did not move between herds. Allele frequencies were updated  
121 each generation by counting alleles.

122 **Mating schemes**

123 Four systems of mating, referred to hereafter as schemes, were simulated: random  
124 mating, truncation selection, the scheme proposed by Pryce et al. [18], and a modified  
125 version of Pryce's scheme that accounts for recessive alleles. In the random mating  
126 scheme, bulls were mated randomly to cows, with a parameter in the simulation used  
127 to limit the maximum number of matings permitted for each bull (5,000). In the  
128 truncation selection scheme, the top 10% of the bulls, based on TBV, were randomly  
129 mated to the cow population. Both lethal (e.g., DUMPS) and non-lethal (e.g., red coat  
130 color) recessives were included in the simulations.

131

132 In the Pryce scheme, matings were assigned as follows. For each herd, 20% of the  
133 bulls were randomly selected from the list of live bulls to simulate different groups of  
134 bulls available on different farms, and the top 50 bulls from that group were selected  
135 as herd sires based on TBV. This strategy is similar to that used by Pryce et al. [18]

136 for cows and bulls, but only sires were selected in this manner because cows and their  
 137 offspring were assigned to fixed herds where they remained until death. A matrix of  
 138 parent averages,  $\mathbf{B}_0$ , was then constructed with rows corresponding to bulls and  
 139 columns corresponding to cows. The elements of  $\mathbf{B}_0$  were computed as:

$$140 \quad \mathbf{B}_{ij} = 0.5(\text{TBV}_i + \text{TBV}_j) - \lambda F_{ij}$$

141 where  $\text{TBV}_i$  is the TBV for NM\$ of bull  $i$ ,  $\text{TBV}_j$  is the TBV for NM\$ of cow  $j$ ,  $\lambda$  is  
 142 the inbreeding depression (\$) associated with a 1% increase in inbreeding, and  $F_{ij}$  is  
 143 the pedigree coefficient of inbreeding of the calf resulting from mating bull  $i$  to cow  $j$ .

144 Recessive genotypes are simulated without error, and it was necessary only to  
 145 simulate genotypes for recessives because pedigrees are free of errors. The regression  
 146 coefficient of NM\$ on inbreeding ( $\lambda$ ) was computed as the weighted average of  
 147 inbreeding effects [20] on the traits in the index. This is similar to the \$23.11 used by  
 148 Weigel and Lin [21].

149

150 In the fourth scheme, recessives were accounted for by adjusting the elements of  $\mathbf{B}_0$  to  
 151 account for the recessives carried by the parents as:

$$B'_{ij} = B_{ij} - \sum_{r=1}^{n_r} P(aa)_r \times v_r$$

152 where  $n_r$  is the number of recessives in a scenario,  $\mathbf{B}'_{ij}$  is the PA adjusted for all  
 153 recessives in a scenario,  $P(aa)_r$  is the probability of producing an affected calf for  
 154 recessive  $r$ , and  $v_r$  is the economic value of recessive  $r$ .  $P(aa)$  will be either 0.25, for a  
 155 mating of two carriers, 0.5, for a mating of an affected animal with a carrier, or 1, for  
 156 a mating of two affected animals. Non-lethal recessives were entered into the  
 157 simulation with an economic value of either 0 (in the case of recessives with no effect  
 158 on lifetime profitability) or a negative number (which increases the PA for

159 economically desirable recessives such as polled). The recessives used in each  
160 scenario are described in Table 1, which includes the minor allele frequency and the  
161 economic value assigned to each. For each recessive there is a correlation of  $F_{ij}$  with  
162  $P(aa)$  that will result in some double-counting of the economic impact of each locus,  
163 and this may produce suboptimal rates of genetic gain. The relationship of these two  
164 quantities will be discussed in detail in the Results section.

165

166 Once the matrix of PA ( $\mathbf{B}$  or  $\mathbf{B}'$ , depending on the scenario) is constructed, a matrix of  
167 matings,  $\mathbf{M}$ , is used to allocate bulls to cows. An element,  $\mathbf{M}_{ij}$ , is set to 1 if the  
168 corresponding value of  $\mathbf{B}_{ij}$  is the greatest value in column  $j$  (that bull produces the  
169 largest PA of any bull available for mating to cow  $j$ ), and all of the other elements of  
170 column  $j$  are set to 0. If the sum of the elements of row  $i$  is less than the maximum  
171 number of permitted matings for that bull then the mating can be allocated.

172 Otherwise, the bull with the next-highest value of  $\mathbf{B}_{ij}$  in the column is selected, and  
173 so-on, until each column has one and only one element in it with a value of 1. This  
174 approach overestimates genetic progress because it assumes equal selection accuracy  
175 among male and female breeding values, when in practice selection accuracy is lower  
176 in females, but it should permit a reasonable comparison of the Pryce and modified  
177 Pryce algorithms. All animals are assumed to be genotyped so that recessive status is

178 known and reliabilities are similar for most animals in the population, unlike a  
179 traditional progeny test scenario in which cows and bulls have substantially different  
180 reliabilities.

181

182 Each step in the simulation represents 1 year of calendar time. New animals are born  
183 at the beginning of each year, affected calves die, and surviving animals are.

184 Generations overlapped, and bulls and cows could have offspring in multiple years.  
185 Bulls were culled first for age, with a maximum age of 10 years, and then on TBV  
186 (lowest-ranking animals culled first) to maintain a maximum population size. Cows  
187 were first culled for age, with a maximum age of 5 years. After age-related culling,  
188 animals were culled involuntarily. Finally, cows were culled at random to maintain  
189 population size, if necessary. Animals were not culled based on carrier status, and  
190 cows were not culled due to abortions or stillbirths.

191 **Recessive scenarios**

192 Several scenarios were used to characterize the performance of the proposed method,  
193 where the term scenario is used to refer to one or more recessives studied together.

194 **Economic values.** Each recessive was assigned an economic value based on the  
195 occurrence of embryonic or foetal loss during pregnancy (for lethals), or literature  
196 values for non-lethal conditions such as red coat color and horned status. Holstein  
197 haplotypes 1 through 5 (**HH1–HH5**) occur early in pregnancy, as does deficiency of  
198 uridine monophosphate synthase (**DUMPS**), and they were assigned a value of \$40  
199 based on reproductive costs included in the 2014 revision of the NMS index [19].

200 Brachyspina and mulefoot result in stillbirths or calves that do not survive to  
201 adulthood, and they were assigned relatively high costs of \$150, although actual  
202 losses could be higher. Complex vertebral malformation (**CVM**) results in late-term  
203 abortions, so a value intermediate to that of the Holstein fertility haplotypes and  
204 brachyspina/mulefoot was used. The high-cost scenario used 3 times the cost in the  
205 base scenario to assess the sensitivity of results to economic values. For the  
206 hypothetical recessives, values of either 0.10 (\$20) or 1 (\$200) genetic standard  
207 deviations of NMS were used.

208 **Holstein recessives.** Twelve recessives currently segregating in the US Holstein  
209 population were grouped together in order to determine how the modified Pryce  
210 method will perform in a commercial livestock population: bovine leukocyte adhesion  
211 deficiency (**BLAD**), brachyspina, CVM, DUMPS, HH1–HH5, horned, mulefoot, and  
212 red coat color). Two scenarios that used the 12 Holstein recessives, but which differed  
213 in the economic value assigned to each locus, were used to determine the sensitivity  
214 of matings to different prices. In the normal scenario, prices were assigned based on  
215 the effect of the recessive and the timing of occurrence, as described above. In the  
216 high-cost scenario the prices used for the normal scenario were multiplied by 3. Allele  
217 frequencies for the 12 recessives were taken from [22].

218

219 **Hypothetical recessives.** The effect of initial allele frequency on response to selection  
220 under each strategy was examined using six scenarios, each of which included a  
221 single locus at low (0.01), medium (0.50), or high (0.90) frequency with either a low  
222 (\$20) or high (\$200) cost. In addition, a seventh scenario that included all of the  
223 hypothetical loci was examined.

224

225 **Horned and other high-frequency non-lethal recessives.** Not every recessive in a  
226 livestock population is lethal to homozygotes, one example being the horned locus in  
227 cattle. Because the horned condition in cattle is due to the action of a recessive allele  
228 [23], although it has a very high frequency, it was included in the simulation in place  
229 of polled with an allele frequency of  $1 - 0.0071 = 0.9929$ . Based in part on the work of  
230 Widmar et al. [24], who calculated average expected costs for dehorning and polled  
231 genetics of \$11.79 and \$10.73, respectively, a value of \$40 was assumed for horned to  
232 also account for breeders' preferences and premium marketing opportunities. Recall

233 that a positive value reduces the PA in the modified Pryce method, resulting in this  
234 case in a lower frequency of horned.

235

236 **Many recessives.** Scenarios including 100 and 1,000 recessives were run in order to  
237 examine the relationship of inbreeding and the sum of the P(aa) terms using the  
238 modified Pryce method. Minor allele frequencies were sampled from a uniform  
239 distribution on the interval [0.01, 0.10], and the economic values from a random  
240 uniform distribution on the interval [-\$10, -\$50]. Correlations of the two terms were  
241 calculated, and separate regressions were computed for matings selected from among  
242 the available choices and those not selected.

#### 243 **Analysis**

244 Results were averaged over each of the 10 replicates for each scenario. Observed  
245 changes in allele frequency were compared against expectations, where the expected  
246 allele frequency in each generation for lethals was calculated using an equation  
247 derived from Van Doormaal and Kistemaker [25]:

$$p_t = \frac{p_{t-1}^2 + p_{t-1}q_{t-1}}{2p_{t-1}^2 + p_{t-1}q_{t-1}}$$
$$q_t = \frac{p_{t-1}q_{t-1}}{2p_{t-1}^2 + p_{t-1}q_{t-1}}$$

248 where  $p_t$  is the frequency of the major allele at time  $t$ ,  $q_t$  is the frequency of the minor  
249 allele at time  $t$ , and  $t$  is the time in years (ranging from 1 to 20). The minor allele  
250 frequency at time 0 was the value used in each scenario for each recessive, and the  
251 major allele frequency was calculated by subtracting the minor allele frequency from  
252 1. Expected allele frequencies for non-lethals was calculated based on Hardy-  
253 Weinberg proportions [26] as:

$$p_t = p_{t-1}^2 + p_{t-1}q_{t-1}$$
$$q_t = q_{t-1}^2 + p_{t-1}q_{t-1}$$

254 For each recessive in each scenario, as well as for the expected frequencies, allele  
255 frequencies were regressed on generation using a linear model as implemented in the  
256 Python module Statsmodels version 0.5.0 ([27]; <http://statsmodels.sourceforge.net/>).  
257 For a given recessive, the slopes were extracted from the regression results and a two-  
258 sample *t*-test assuming unequal variances was used to compare the coefficients  
259 against each other, as well as against the expected frequencies. A Bonferroni  
260 adjustment was used to correct for multiple comparisons.

### 261 **Computing environment**

262 All simulations were performed on a Pogo Linux Atlas 1205 (Pogo Linux, Inc.,  
263 Redmond, WA) computer with an 8-core AMD Opteron 6328 processor with a clock  
264 speed of 3.2 GHz, 64 GB of DDR3 1600 MHz RAM, and 64-bit CentOS Linux EL6  
265 (Red Hat, Inc., Raleigh, NC), or a Thinkmate RAX QS6-4210 (Thinkmate, Inc.,  
266 Waltham, MA) workstation with four 12-core AMD Opteron 6344 processors with a  
267 clock speed of 2.6 GHz, 256 GB of DDR3 1600 MHz RAM, and CentOS Linux EL7.  
268 Data analysis and visualization were performed on a MacBook Pro with two Intel  
269 Core i7 processors running at 2.9 GHz, 8 GB of DDR3 1600 MHz RAM, and Mac OS  
270 X 10.7.5 (Apple Inc., Cupertino, CA).

271

272 Computation time for the random mating scheme averaged 193 minutes per replicate  
273 in a one-recessive scenario (high frequency, high cost) and 215 minutes in a 12-  
274 recessive scenario (Holstein recessives). Considerably less time was required for the  
275 truncation selection scheme, averaging 34 minutes in the one-recessive scenario and  
276 38 minutes in the Holstein scenario. The time needed for the Pryce and modified  
277 Pryce schemes averaged 206 minutes and 232 minutes in the one-recessive scenario,

278 and 203 and 279 minutes in the Holstein scenario. **These two schemes require** the  
279 allocation of large arrays and the creation of large output files that are not part of the  
280 random mating or truncation selection schemes. If matings are done within herd, the  
281 memory used for 1 herd can be reused for the next to keep memory requirements low.  
282 The time required for processing 1 generation rather than 20 should be very  
283 reasonable.

## 284 **Results and discussion**

### 285 **Holstein recessives**

286 **Normal costs.** Observed allele frequency changes for 11 of the 12 recessives from the  
287 four mating schemes are shown in **Figure 1**. Horned is not shown because the allele  
288 frequency remained above 99% in all 4 schemes, and its inclusion in the plot obscured  
289 the changes in alleles at low frequency. The frequency of the 10 lethals generally  
290 decreased over time in all scenarios. The frequencies of HH1 and HH3 decreased  
291 significantly faster ( $P < 0.05$  after a Bonferroni correction) under Pryce's method than  
292 under the modified Pryce's method. The rate of change in allele frequencies was  
293 similar under the Pryce's (**Figure 2**) and modified Pryce's (data not shown) schemes.  
294 An advantage of the modified Pryce approach is that it maintains the frequency of  
295 desirable recessives, such as red coat color, in the population. In the Pryce scheme,  
296 the frequency of red decreased over time because there is no mechanism in that  
297 scenario to balance undesirable economic effects of inbreeding against the desirable  
298 economic value of some recessives. In the modified Pryce scheme the positive  
299 economic value of red coat color offsets the inbreeding penalty and maintains a  
300 relatively constant gene frequency over time. Avoidance of genomic inbreeding limits  
301 homozygosity, but eventually the population should become homozygous for the  
302 favorable allele.

303

304 Average TBV for the total merit index under selection were similar among the  
305 schemes over time. The difference between cows in year 20 of the two schemes was  
306 \$21, which was \$2,966 versus \$2,987 for Pryce and modified Pryce, respectively.  
307 Bulls in generation 20 differed by only \$10 on average (\$3,737 versus \$3,747). These  
308 differences are relatively small when compared to the overall genetic gain in the  
309 population, which averaged approximately \$148 per year in cows and \$186 per year  
310 in bulls.

311

312 Average coefficients of inbreeding by birth year were very similar for cows and bulls,  
313 increasing by approximately 0.35% per year in both populations. The same general  
314 pattern was observed across all scenarios and mate allocation schemes (data not  
315 shown). A value for  $\lambda$  of \$25 was used, which is similar to the \$23.11 calculated by  
316 [21], and higher than the \$12 reported by Smith et al. [28] and the AUS\$5 value used  
317 by Pryce et al. [18].

318

319 **High costs.** In this scheme, the economic value of each recessive was increased by a  
320 factor of 3 over the base Holstein scheme. Results were similar to the base Holstein  
321 scenario (Figure 3); this is probably due to the use of the same constant to scale values  
322 for all traits. The frequencies of HH5 and horned decreased faster under the modified  
323 Pryce scenario than the Pryce scenario ( $P < 0.05$ ), while HH1 decreased more quickly  
324 under the Pryce scenario.

325 **Hypothetical recessives**

326 **High frequency, lethal recessives.** The rate of allele frequency change was similar for  
327 both the low (\$20; Figure 4) and high (\$200; data not shown) value scenarios. This

328 suggests that at minor allele frequency the change from generation to generation is  
329 driven principally by genotype frequencies, not by economic value. The fit of the  
330 observed to expected allele frequency changes was very good in both scenarios (data  
331 not shown).

332

333 **Medium frequency, lethal recessives.** Results for a minor allele with an initial  
334 frequency of 0.50 and an economic value of either \$20 or \$200 were very similar to  
335 those for the previous section. The economic values were again dwarfed by the allele  
336 frequency, and a different mate allocation strategy will be needed to decrease the  
337 allele frequency more quickly.

338

339 **Low frequency, lethal recessives.** The two low-frequency scenarios discussed in this  
340 section are representative of the recessives seen most commonly in livestock  
341 populations [22], harmful alleles with low frequencies ( $< 0.05$ ). Both the Pryce and  
342 modified Pryce methods are successful at decreasing the allele frequency over time  
343 when the value of the recessive is high, and they do so more quickly than expected.  
344 However, the modified Pryce's method appears to be more effective than random  
345 mating, truncation selection, or Pryce's method schemes at lowering the allele  
346 frequency when the economic value of the recessive is low.

347

348 **Six hypothetical, lethal recessives.** All four systems of mate allocation produced  
349 similar changes in allele frequencies over time. Pryce's method and the modified  
350 Pryce's method do produce slightly lower frequencies for some of the alleles that had  
351 high or medium initial frequencies, but there was no apparent pattern based on the  
352 economic value of each locus. Observed allele frequencies showed much better fits to

353 the predicted values than in the scenarios based on the actual Holstein recessives, but  
354 that is expected when alleles have initial frequencies greater than 0.20.

355

356 There was no apparent difference between the change in allele frequencies over time  
357 even though there was a tenfold difference between the high- (\$200) and low-valued  
358 (\$20) recessives. When the minor allele frequency is high, many of the potential mate  
359 pairs in the population will have their parent averages reduced, but the loci with large  
360 values will be decreased more than those with low values, which should result in few  
361 carrier-to-carrier matings. **Increasing the economic value of recessives is not alone**  
362 **sufficient to increase the rate at which undesirable loci are eliminated from the**  
363 **population.**

#### 364 **Horned and other high-frequency non-lethal recessives**

365 The horned allele is present at a frequency greater than 99% in the US Holstein  
366 population, and there is increasing interest in reducing its frequency to improve  
367 animal welfare. A scenario including only the horned recessive was simulated to  
368 determine if the modified Pryce's scheme is an effective tool for **reducing the**  
369 **frequency of horned** (increasing the frequency of polled) in the population. A \$40  
370 value for horned was not effective in reducing the minor allele frequency, probably  
371 because the frequency of the polled allele is so low that carriers were unlikely to be  
372 one of the top-ranked bulls by TBV. **The limitation of 5,000 matings per generation**  
373 **also limits the effect of a high TBV polled bull on the population.** Increasing the value  
374 **of horned** from \$40 to \$400 **also was** unsuccessful in changing the **allele**. These  
375 results are consistent with **those of the 12 recessive scenarios** described above, in  
376 which there was no change in the frequency of horned. A more sophisticated approach  
377 for selecting mate pairs that will either produce polled offspring or heterozygotes,

378 such as a scheme described by Li et al. [13,14] or Spurlock et al. [29] or the use of  
379 tools for non-meiotic allele introgression [30], will be needed to effectively increase  
380 the frequency of polled (decrease the frequency of horned) cows in the national dairy  
381 herd.

### 382 **Mating schemes**

383 As expected, there was negligible genetic trend under the random mating scheme  
384 except in scenarios in which lethals had initial minor allele frequencies greater than  
385 20%. The results from the truncation selection scheme were generally similar to the  
386 Pryce's and modified Pryce's schemes for lethals, and to random mating for non-lethal  
387 recessives. This is reasonable because the allele frequency of the lethals is expected to  
388 decrease over time even if no additional selection pressure is imposed, and the  
389 threshold that retains the top 10% of bulls for breeding ensures that genetic trend is  
390 positive. The truncation selection scheme loosely resembles current mating strategies  
391 used on large commercial dairies in North America.

392

393 More affected calves were observed in the Pryce's and modified Pryce's schemes than  
394 in the random mating and truncation selection schemes. Figure 5 shows the proportion  
395 of simulated calves that were culled due to recessive genotypes averaged over  
396 replicates of the Holstein scenario; results were similar for the high value, high  
397 frequency and low value, low frequency scenarios (data not shown). This is expected  
398 because a bull can have genetic superiority over his contemporaries greater than the  
399 value assigned to the recessives he may carry. Selection for reduced allele number  
400 rather than reduced frequency of recessive genotypes could result in fewer embryonic  
401 losses [15]. There is a conflict between the goal of eliminating recessives from the

402 population, which involves fixing associated haplotypes in a homozygous state, and  
403 minimizing inbreeding, which seeks to avoid such increases in homozygosity.

#### 404 **Relationships of inbreeding with recessive load**

405 The relationship of inbreeding with the number of recessives carried by parents was  
406 examined by computing the correlation of  $f_{ij}$  with the sum of  $P(aa)$  for each possible  
407 mating in each generation ( $\Sigma P(aa)$ ) for scenarios including 12 (the Holstein scenario  
408 discussed above), 100, or 1,000 recessives. Contrary to expectations, the correlation  
409 of  $f_{ij}$  with  $\Sigma P(aa)$  was near 0 in the Holstein scenario, and negative in the 100- and  
410 1,000-recessive scenarios. The correlation was stronger for matings made than those  
411 not made, suggesting that the modified Pryce's method was successful in identifying  
412 matings that reduced the accumulation of recessives. **Figure 6** shows the regressions  
413 for the matings evaluated in birth year 20 of replicate 1 of each scenario by mating  
414 category (0: mating not made, 1: mating made); results were similar across replicates.  
415 The final birth year in each scenario was chosen for plotting because they provided  
416 the most opportunity to generate correlations among inbreeding and the number of  
417 recessives carried by individuals.

418

419 The lack of large correlations may be due in part to the low allele frequencies used in  
420 most scenarios. **When** deleterious recessives **have** very low frequencies the  
421 probability that a mating will be affected by more than one recessive, and that such a  
422 mating will have a DGV extreme enough overcome the penalty, is extremely low. If  
423 the frequencies of the recessives were high a stronger relationship would probably be  
424 observed, but it is difficult to consider a situation in which several recessives would  
425 be at a high frequency in the population.

426

427 Although inbreeding is inevitable in finite populations under selection [e.g., 31], its  
428 deleterious effects can be managed if harmful alleles are eliminated from the  
429 population. There is evidence that such purging has occurred in cattle populations [32,  
430 33], and affected animals in the 100- and 1000-recessive scenarios were eliminated  
431 from the population quickly. As the number of recessives in real populations increase  
432 it is more likely that affected matings will occur, resulting in purging consistent with  
433 the trends in Figures 6a and 6b.

#### 434 **Mate allocation**

435 Mate allocation, the process of selecting mating pairs from a population of female and  
436 some portfolio of males, has a long history in animal breeding programs in both  
437 general [16, 18, 34, 35, 36] and trait-specific [37] applications. Many artificial  
438 insemination firms provide mating recommendations to their customers as part of  
439 their services, but the algorithms used are usually very simple. Sun et al. [38] recently  
440 showed that rates of genetic gain can be further increased when genomic relationships  
441 are used and matings are allocated using linear programming (LP). This may be a  
442 more practical way to account for recessives than including them in selection indices  
443 because of the difficulty of obtaining the marginal cost of a recessive independent of  
444 all other costs already accounted for by the other traits in the index, although the  
445 possibility of double-counting costs remains.

446

447 An advantage of the modified Pryce method over Pryce's original method is that the  
448 former can be used to maintain the frequency of desirable recessives, such as red coat  
449 color, in the population. There are other recessives, such as slick hair coat [39], that  
450 are segregating in some lines of Holstein that are desirable to producers in sub-

451 tropical regions, and the modified Pryce's method could be used to increase the  
452 frequency of that allele in the general population.  
453  
454 **However, these two approaches** suffer from order-dependence, that is, if the cows are  
455 reordered before bulls are allocated the mate pairs change. This is not a serious  
456 problem if the **best** bulls in the population have similar breeding values, but could be  
457 important if a small group of **elite** bulls **has** much higher breeding values than other  
458 active bulls. The use of LP could eliminate this problem, at the cost of some added  
459 complexity in the implementation phase. Sun et al. [38] found in simulation that  
460 expected progeny differences were slightly higher when using LP compared to Pryce's  
461 method. Progeny inbreeding also was slightly lower using LP. Similar gains **were**  
462 reported by Weigel and Lin [21].  
463  
464 Sequential allocation as used in the Pryce and modified Pryce algorithms cannot  
465 account for a situation in which the value of one mating is affected by other matings,  
466 which is common when matings on multiple farms are considered simultaneously or  
467 management of parental coancestry is desired. Van Eenennaam and Kinghorn [15]  
468 recently extended the **MateSel** program [16] to permit selection against the number of  
469 lethal alleles and recessive lethal genotypes. The genetic progress foregone to  
470 decrease the incidence of lethal **homozygotes** is dependent upon allele frequencies,  
471 the number of lethal loci, and the emphasis that is placed on avoiding embryonic  
472 deaths. Their approach theoretically more **satisfying** than the algorithm presented in  
473 this paper, but there is often considerable reluctance by breeding organizations in the  
474 US to modify their software. Because of this, ease-of-implementation is often

475 accorded more importance than theoretically optimal properties, and it is better to  
476 have an imperfect mate allocation tool used than no tool at all.

#### 477 **Integration with on-farm systems**

478 As of 27 July 2015 there were 1,059,438 genotypes in the National Dairy Database  
479 maintained by the Council on Dairy Cattle Breeding (Reynoldsburg, OH, USA), of  
480 which 854,766 were from females ([https://www.cdcb.us/Genotype/cur\\_freq.html](https://www.cdcb.us/Genotype/cur_freq.html)).

481 The modified Pryce's method described in this paper can easily be integrated into  
482 existing herd management and mate planning software, where it can be used in  
483 combination with these genotypes to better inform culling decisions or identify  
484 matings to be avoided. In the case of some haplotypes, such as A2 beta-casein and  
485 polled, this may be a useful tool for increasing allele frequencies without sacrificing  
486 substantial cumulative genetic gain.

#### 487 **Tradeoffs and limitations**

488 In the modified Pryce scenario it was possible to reduce but not eliminate embryonic  
489 mortality. As the relative weighting (economic value) of loci increases the foregone  
490 genetic progress also will increase. MacArthur et al. [40] recently estimated that  
491 human genomes contain approximately 100 loss-of-function mutations, and about 20  
492 genes that are completely inactivated. While not all of those mutations are lethal, it  
493 suggests that the 100-locus scenario of Van Eenennaam and Kinghorn [15] represents  
494 a plausible limit to the selection problem. Segelke et al. [41] suggested that a genetic  
495 index including haplotypes of interest should be used when selecting females for  
496 mating, and breeding values should be used to select bulls in order to balance  
497 selection for specific alleles with genetic gain. As the number of recessives increases  
498 it will be increasingly difficult to assign proper weights to each of them, and the

499 marginal value of each recessive will be difficult to calculate without double-  
500 counting.

## 501 **Conclusions**

502 A modified version of Pryce's method [18] that accounts for the economic effects of  
503 recessive conditions was developed and compared with random mating, truncation  
504 selection, and Pryce's method for several different scenarios, including hypothetical  
505 alleles as well as 12 recessives currently segregating in the US Holstein population.  
506 The new method appears capable both of reducing the frequency of undesirable  
507 recessives with low frequencies and maintaining or increasing the frequency of  
508 desirable recessives. The method can easily be implemented in software used for mate  
509 allocation, and the code used in this study is freely available for use as a reference  
510 implementation.

## 511 **Competing interests**

512 The author declares that he has no competing interests.

## 513 **Acknowledgements**

514 The author would like to thank Sophie Eaglen and Paul VanRaden for several  
515 suggestions about the study, Kristen Parker Gaddis for computational and editorial  
516 assistance, and Daniel Null for technical editing of the manuscript. The editor and two  
517 anonymous reviewers provided important advice for improving the quality of the  
518 paper. This research was supported by appropriated project 1265-31000-096-00,  
519 “Improving Genetic Predictions in Dairy Animals Using Phenotypic and Genomic  
520 Information”, of the Agricultural Research Service of the United States Department of  
521 Agriculture, and Agriculture and Food Research Initiative Competitive Grant No.  
522 2013-68004-20365, “Improving Fertility of Dairy Cattle Using Translational  
523 Genomics”. Mention of trade names or commercial products in this article is solely

524 for the purpose of providing specific information and does not imply recommendation  
525 or endorsement by the US Department of Agriculture. The USDA is an equal  
526 opportunity provider and employer.

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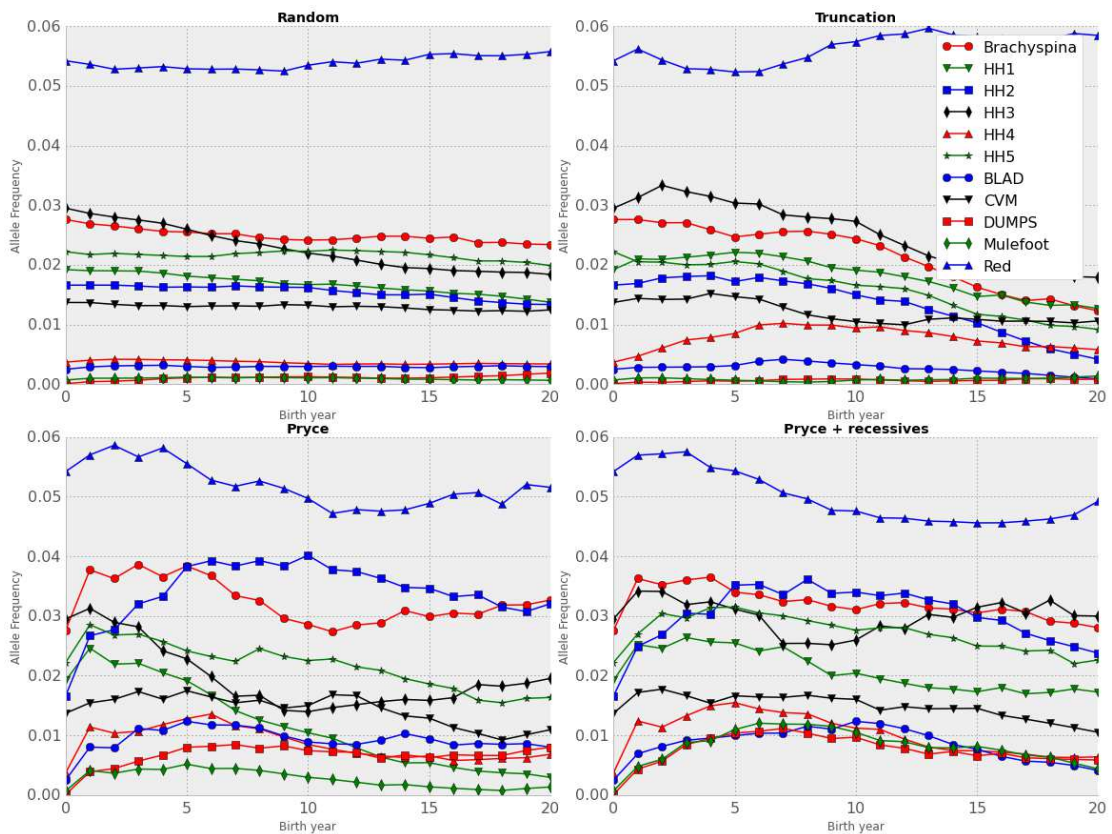
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643 **Figures**

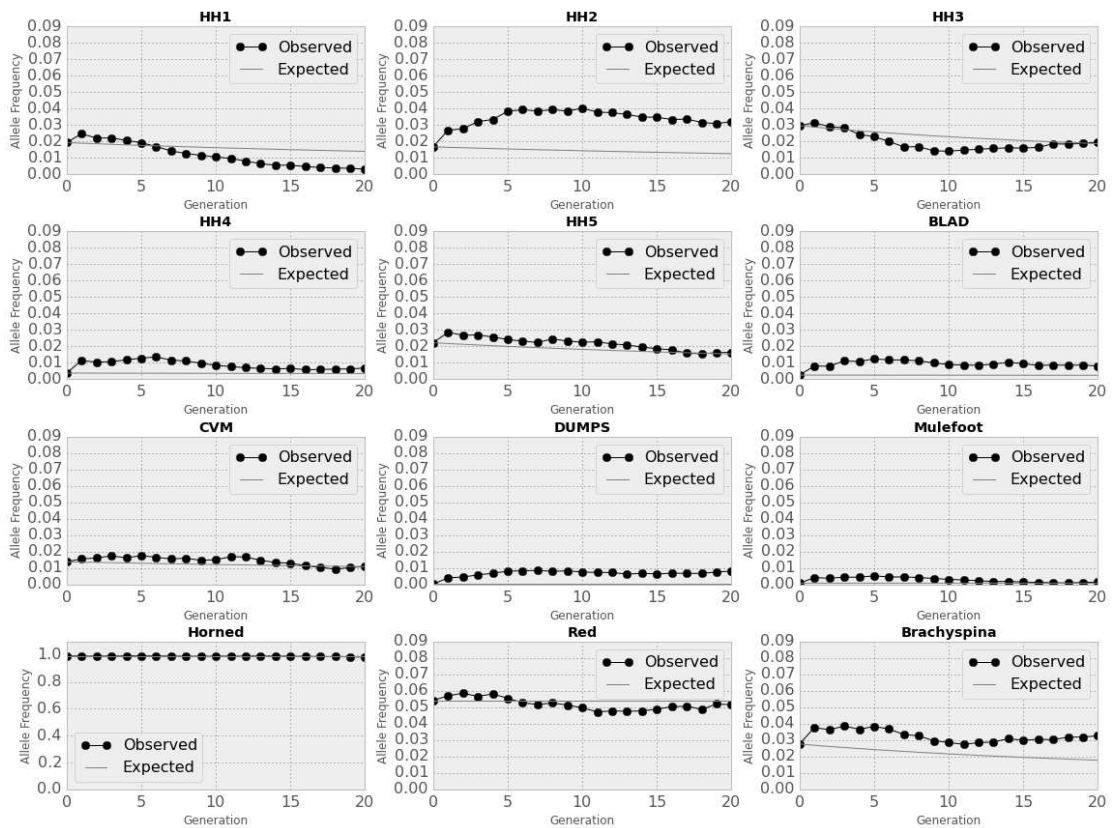
644 **Figure 1 - Observed allele frequencies for Holstein recessives**

645 Observed changes in minor allele frequencies for BLAD, brachyspina, CVM,  
646 DUMPS, HH1–HH5, mulefoot, and red coat color over 20 years under random  
647 selection, truncation selection, Pryce’s method for controlling genomic inbreeding,  
648 and Pryce’s method accounting for recessives.



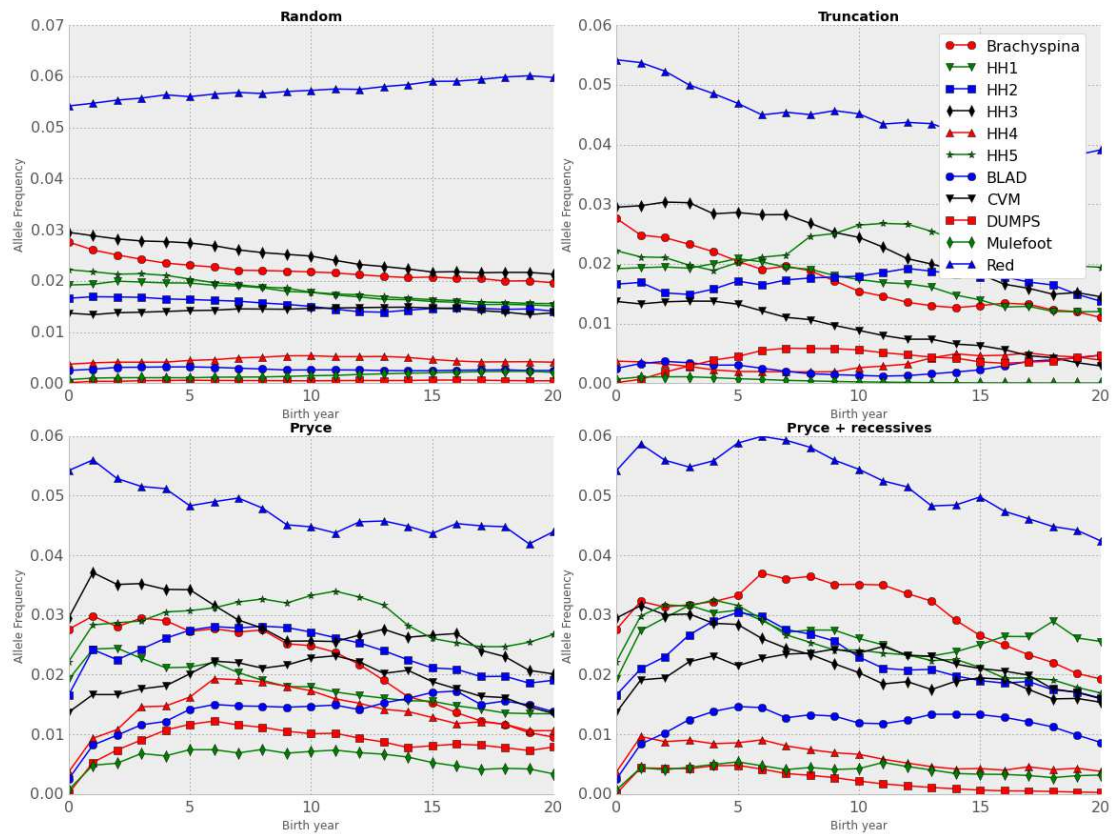
649  
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651 **Figure 2 - Observed versus expected allele frequencies under the Pryce**  
 652 **scenario**  
 653 Observed versus expected allele frequencies under the Pryce scenario. Observed  
 654 versus expected changes in minor allele frequencies for BLAD, brachyspina, CVM,  
 655 DUMPS, HH1–HH5, horned, mulefoot, and red coat color over 20 years using  
 656 Pryce’s method for controlling genomic inbreeding. Note that the horned subplot is  
 657 scaled differently on the y axis than the other subplots because of its allele frequency.



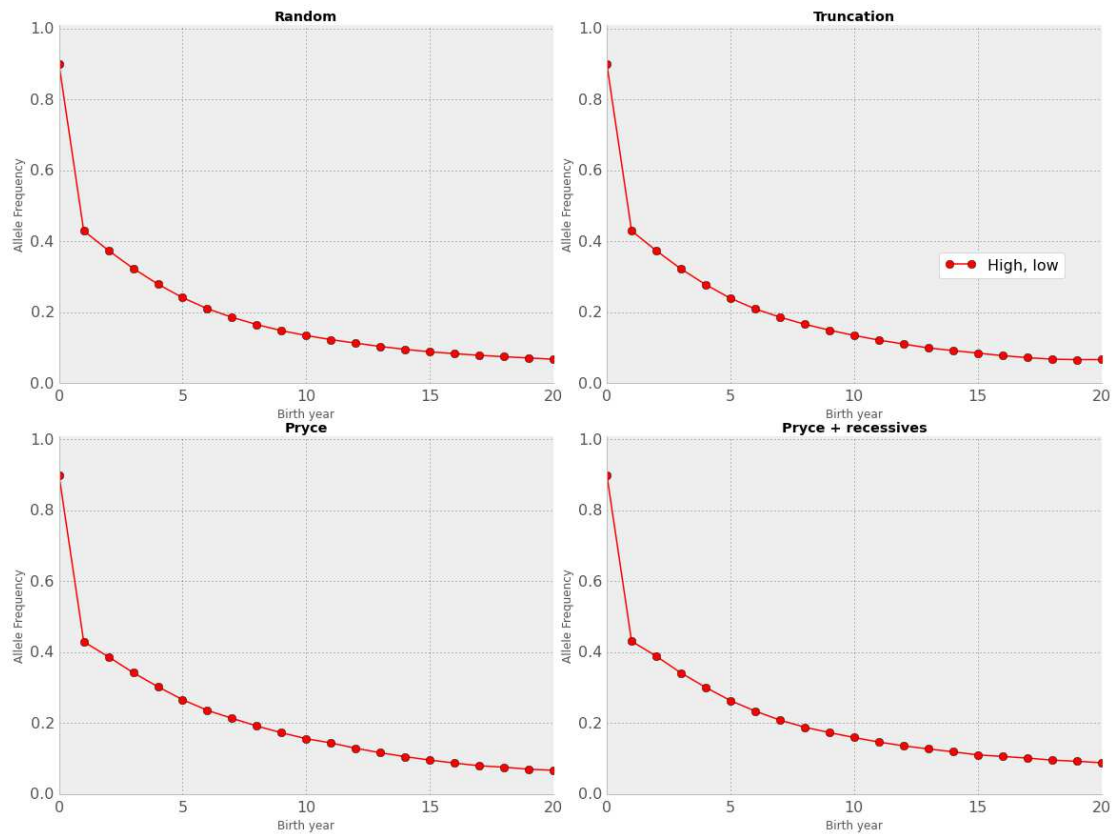
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660 **Figure 3** - Observed allele frequencies for Holstein recessives with high  
 661 economic values  
 662 Observed changes in minor allele frequencies for BLAD, brachyspina, CVM,  
 663 DUMPS, HH1–HH5, mulefoot, and red coat color over 20 years under random  
 664 selection, truncation selection, Pryce’s method for controlling genomic inbreeding,  
 665 and Pryce’s method accounting for recessives.



666  
 667

668 **Figure 4** - Observed allele frequencies for a hypothetical recessive with a high  
 669 frequency and low value  
 670 Observed changes in minor allele frequency for a hypothetical recessive with a  
 671 starting frequency of 0.90 and an economic value of \$20 over 20 years under random  
 672 selection, truncation selection, Pryce's method for controlling genomic inbreeding,  
 673 and a modified Pryce's method that accounts for recessives.

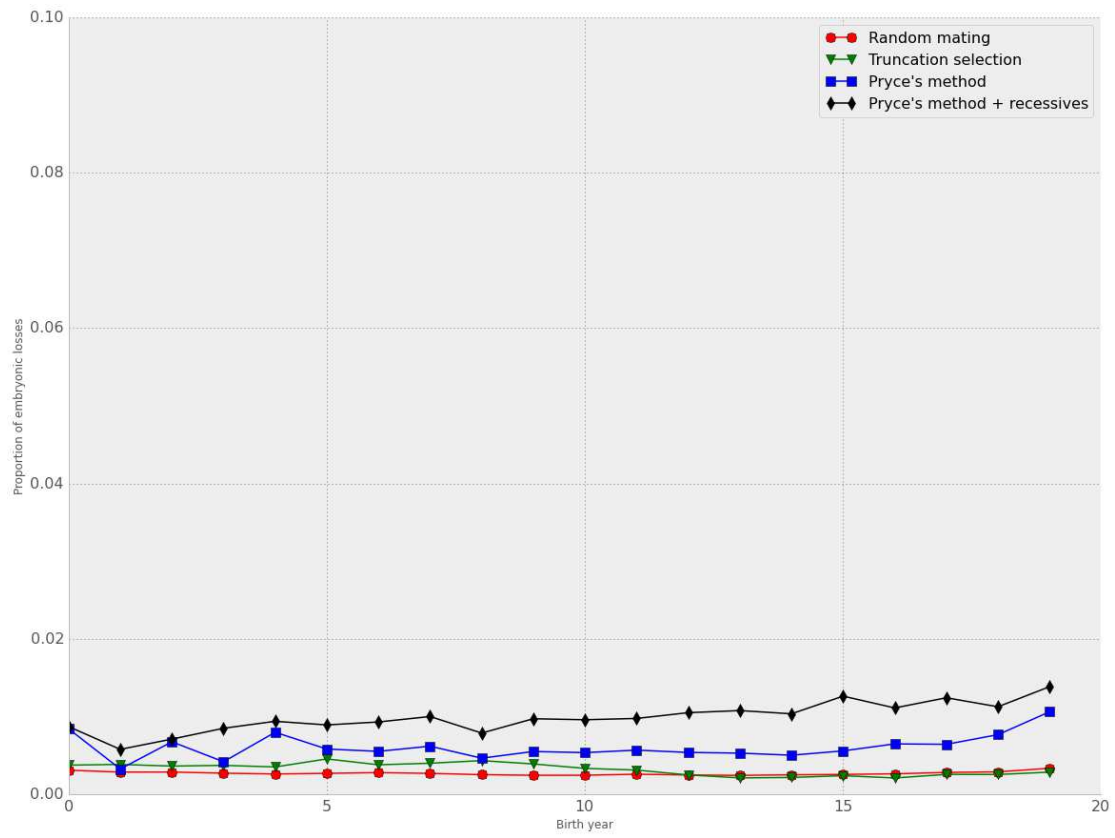


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676 **Figure 5 - Embryonic deaths by birth year**

677 Proportion of embryos in each birth year that died due to the effects of recessive

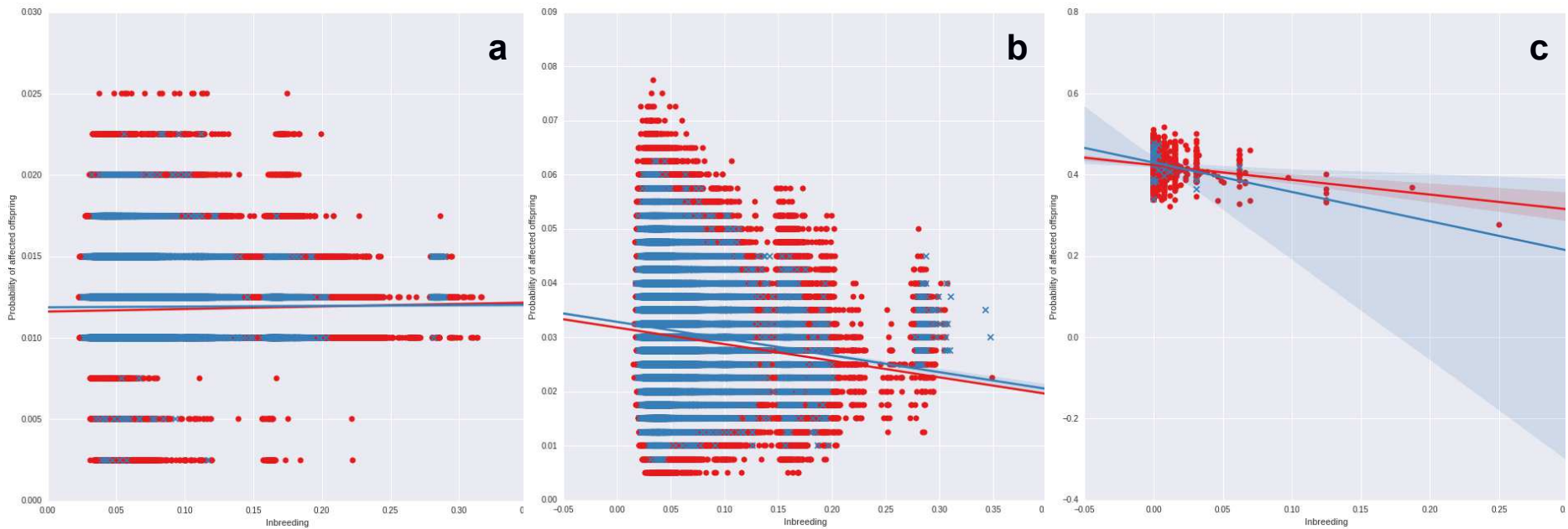
678 genotypes.



679

680 **Figure 6 - Embryo inbreeding and probability of carrying recessives**

681 Relationships of embryo inbreeding with the probability that the embryo will be affected by one or more recessive conditions for (a) Holstein  
682 recessives, (b) 100 simulated recessives, and (c) 1,000 simulated recessives. The mating variable distinguishes matings that were not made (red  
683 dots) from those that were made (blue crosses).



684 **Tables**685 **Table 1 - Properties of the recessives included in each scenario simulated**

Group	Scenario <sup>1</sup>	Recessives						
		N <sup>2</sup>	Frequency	Value (\$) <sup>3</sup>	Name	Lethal		
Holstein	All recessives	12	0.0276	150	Brachyspina	Yes		
			0.0192	40	HH1	Yes		
			0.0166	40	HH2	Yes		
			0.0295	40	HH3	Yes		
			0.0037	40	HH4	Yes		
			0.0222	40	HH5	Yes		
			0.0025	150	BLAD	Yes		
			0.0137	70	CVM	Yes		
			0.0001	40	DUMPS	Yes		
			0.0007	150	Mulefoot	Yes		
			0.9929	40	Horned	No		
			0.0542	-20	Red coat color	No		
			All recessives, high cost	12	0.0276	450	Brachyspina	Yes
					0.0192	120	HH1	Yes
				0.0166	120	HH2	Yes	
			0.0295	120	HH3	Yes		
			0.0037	120	HH4	Yes		
			0.0222	120	HH5	Yes		
			0.0025	450	BLAD	Yes		

			0.0137	210	CVM	Yes
			0.0001	120	DUMPS	Yes
			0.0007	450	Mulefoot	Yes
			0.9929	120	Horned	No
			0.0542	-60	Red coat color	No
Hypothetical	High frequency, low value	1	0.90	20	High. low	Yes
	High frequency, high value	1	0.90	200	High, high	Yes
	Medium frequency, low value	1	0.50	20	Medium, low	Yes
	Medium frequency, high value	1	0.50	200	Medium, high	Yes
	Low frequency, low value	1	0.01	20	Low, low	Yes
	Low frequency, high value	1	0.01	200	Low, high	Yes
	All recessives	6			As above.	
Horned	Horned, market value	1	0.9929	40	Horned	No
	Horned, high value	1	0.9929	400	Horned	No

686 <sup>1</sup>The specific scenario simulated for each trait or group of traits.

687 <sup>2</sup>The number of recessives in the scenario.

688 <sup>3</sup>Positive values are undesirable and negative values are desirable.